



FALDAYAKA SERVICES PRIVATE LIMITED

Kaura Maidan College Road, 2nd Floor of IDBI Bank Building, Munger 811201 (Bihar) India

E-Mail: - info@faldayaka.com Website: - www.faldayaka.com

INFORMATION SHEET

Master Associate Associate

Name _____
 Father Name _____
 Correspondence Address _____
 _____ Pin Code _____
 Permanent/Office Address _____
 _____ Pin Code _____
 Date of Birth _____ Sex: - Male Female Marital Status: - Single Married
 Phone No. Residence _____ Office _____
 Email ID _____ Mobile No. _____

RECENT
PHOTOGRAPH

Signature

Educational & Professional Qualifications _____

AMFI Certification: - Yes No IDRA Certification: - Yes No

(If yes give ARN No. _____ please attach the photocopy)

Identify Status (Tick What Is Applicable): Service Business Retired Housewife Other

Details of above (if possible) E.G. - nature of activity, Part time/Full time _____

No of years of experience in selling financial products

Financial product (tick the financial products you have experience in Selling and also rank in order of presence/convenience in the space provided)

MUTUAL FUND DEMAT ACCOUNT HEALTH INSURANCE LIFE INSURANCE MOTOR INSURANCE

Average mobilization per month (products category wise):

Investment	Application	Amount
Mutual Fund		
Demat Account		
Health Insurance		
Life Insurance		
Motor Insurance		
RBI Bond		

PAN/GIR No _____

Circle/Word/Dist. _____

Choose Your Business Pack: - Silver Gold Platinum

Bank Details

Bank Name _____ Bank Branch _____

A/C Type: - Saving Current Account No _____

IFSC Code _____ MICR Code _____

(Kindly attach a leaf of a cancelled cheque or a photocopy of the cheque leaf. in case you need the payment by ECS.)

1. Associate code, if any, allotted (which allotment, if any, shall be at the sole discretion of Faldayaka Services Private Limited.) to you has to be stamped at the appropriate place. On the application form(s). Any claim for lead generation fee, sub-brokerage etc., will be entertained only in case you have affixed the Associate code allotted to you in the said form(s). We're not liable for any error arising out of wrong Associate Code or missing Associate code in the form(s).
2. Lead generation fee, sub-brokerage will be paid at rates specified, on our receiving the same from the company (ies). Incentive, if any, will be communicated to you for select issues on a case-to case basis.
3. In the event an application of the client has been rejected/refused/returned, you shall not be entitled to receive any fee, sub-brokerage on the same.
4. In the event of pre-mature withdrawal/cancellation of the invested amount by the client, you agree that we shall have the right to recover and/or adjust the fee, sub-brokerage etc. If any, paid to you on such application.
5. In consideration of you having been empanelled with Faldayaka Services Private Limited., you agree not to accept any investment(s) in cash and/or in your own name and shall at all times obtain and maintain all necessary authorization/registration, as per applicable law. Further, you shall not hold yourself out to be an investment adviser, agent, representative, employee of Faldayaka Services Private Limited. Any breach of this clause, you agree to indemnify Faldayaka Services Private Limited for any loss incurred and/or suffered by Faldayaka Services Private Limited.. Only if requested by Faldayaka Services Private Limited. You shall collect the application form(s) from the clients.
6. If so requested by Faldayaka Services Private Limited., all application(s)/investment(s) should be collected by means of Accounts payable Cheque/DD made payable to the company the its Bankers as specified on the application form. We have not authorized any one to accept cash/money orders, postal orders or any other forms of remittance on our (Faldayaka Services Private Limited.) behalf. We are not liable for any such transaction entered into which involve handling of CASH.
7. We shall use our best efforts to provide up to date information and a list containing the details of mobilization/investment generated through your ARN Code. You appreciate and acknowledge that, we are sharing/would be sharing with you confidential information including but not limited to our business processes and our intellectual property rights (existing or future) (collectively 'Confidential Information'), which has been developed over a period of four decades or which may be developed in future and accordingly you are required to maintain strict confidentiality of the Confidential information including but not limited to the client information. Further, you agree that during the term of this association and thereafter, you shall not either directly or indirectly approach/solicit/induce/entice any of the clients, associates/members, employees of Faldayaka Services Private Limited. To leave Faldayaka Services Private Limited. and join you. In the event of breach of this clause, we may at our sole discretion withdraw/terminate this letter of appointment and all the benefits attached with it, under such an eventuality. You would be under an obligation to return us all the Confidential Information in your possession no later than 7(seven) days from the date of such withdrawal/termination.
8. Fees, sub-brokerage/commission. If any, will only be paid by cheque/NEFT in the name of the associate, subject to TDS applicable (and not to any nominee or other person) and will be marked-Account payee.
9. Any change in Associate Code or name will not be allowed except at our sole direction. Clubbing of Associate Codes is not permissible except at our sole discretion.
10. We reserve the right to discontinue this association without assigning any reason at any point of time. We also reserve the right to alter, amend, add, delete and modify any of the above mentioned terms and conditions. In case of any revision in rates of fees, sub-brokerage/commission payable to us, the fees, sub-brokerage/commission rates payable to all associate will automatically stand revised from such date, without any prior intimation.
11. You covenant with Faldayaka Services Private Limited. That at the time of entering into this arrangement there are no existing breaches of law, regulation and/or notifications. During the continuance of this arrangement you agree to abide by all applicable laws.
12. You may appoint such number of persons in any capacity. However, there shall be no privity of contract between Faldayaka Services Private Limited. And such persons appointed by you and Faldayaka Services Private Limited. Shall not, in anyway, be liable or responsible for the actions/omissions or commission of such persons. You agree to hold Faldayaka Services Private Limited., indemnified from any claims, loss or damage arising from the actions/commissions of yourself and/or such persons.
13. You shall not guarantee any returns to clients/investors not make any representation or advertisement, in any manner and/or of any nature, to clients/investors, without the specific written authorization of Faldayaka Services Private Limited., and where permitted, shall only use advertising/promotional material authorized/permitted by Faldayaka Services Private Limited.
14. You agree and accept that you will satisfy yourself about the various investment schemes/products and shall promote the same at your discretion. You shall be solely responsible for the grievances of the clients/investors investing through you and Faldayaka Services Private Limited. Shall not, in any way, be liable or responsible for the same. You agree to hold Faldayaka Services Private Limited. Indemnified from any claims, loss or damage arising due to any grievance of the clients/investors investing through you.
15. Faldayaka Services Private Limited. Reserves the right to terminate this arrangement (i) at any time without assigning any reasons; (ii) immediately in the event of breach of any of the terms and conditions or any applicable law including those prescribed by SEBI, AMFI and/or such other regulatory authority.
16. The appointment made here under does not in any manner create or seek to create any employer-employee or master-servant or agent-principal relationship between Faldayaka Services Private Limited. And yourself. You shall have no right to bind Faldayaka Services Private Limited. And/or any of its group companies, sister concerns in any manner whatsoever.
17. In the event of any dispute arising between both of us, the Courts at Patna (Bihar) shall have exclusive jurisdiction to resolve any such dispute.

I have read, noted, accepted the above terms and conditions.

Place:

Date:

Signature of Applicant